BRINKS
HOFER
GILSON
&LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: George V. Guyan et al.

Appln. No.: 09/305,146

Filed: May 4, 1999

For: Component Baesd Information Linking During Claim Processing

Attorney Docket No: 10022/252

Examiner: S. Rimell

Art Unit: 2175

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

☒ Second Supplemental Information Disclosure Statement; Form PTO-1449; four cited references

☒ Return Receipt Postcard

Fee calculation:

☐ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of $_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).

☒ A petition or processing fee in an amount of $180 under 37 C.F.R. § 1.17(p).

☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Small Entity		or	Not a Small Entity	
						Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus				x $25=			x $50=	
Indep.		Minus				x 100=	.		x $200=	
First Presentation of Multiple Dep. Claim						+$180=			+ $360=	
						Total	$		Total	$

Fee payment:

☒ A check in the amount of $180 is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of $_____ . A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of $_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.
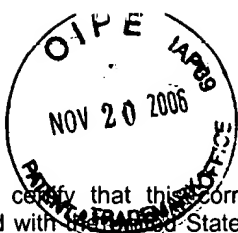
Respectfully submitted,

11/17/06
_____
Date

_____
Marc V. Richards (Reg. No. 37,921)

BRINKS
HOFER
GILSON
&LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: George V. Guyan et al.	
Appln. No.: 09/305,146	Examiner: S. Rimell
Filed: May 4, 1999	Art Unit: 2175
For: Component Based Information Linking During Claim Processing	
Attorney Docket No: 10022/252	

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(d), Applicant hereby cites the following reference(s):

U.S. Patent Documents

No.	Date of Publication	Patentee/Applicant/Assignee
2003/0145124 A1	07/31/2003	Guyan et al.

11/21/2006 MBELETE1 00000041 09305146

01 FC:1806   180.00 OP

Foreign Patent Documents

No.	Date of Publication	Country
EP 0 854 431 A2	07/22/1998	EPO

Other Art

Cugola, G., et al., "Exploiting an event-based infrastructure to develop complex distributed systems," Software Engineering, 1988; Proceedings of the 1988 International Conference on Kyoto, Japan, 19-25 April 1998, *IEEE* pp 261-270.
Groiss, Herbert, et al., "Interoperability with World Wide Workflows," *1st World Conference on Integrated Design & Process Technology,* 1995, pp 1-7.
Extended European Search Report for European Patent Application No. 06005193.5, dated August 22, 2006.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant hereby certifies pursuant to 37 C.F.R. §1.97(e)(1) that no item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Applicant has further calculated a processing fee in the amount of $180.00 to be due under 37 C.F.R. §1.17(p) in connection with the

filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

_____ 11/17/06   _____
Date   Marc V. Richards (Reg. No.37,921)

FORM PTO-1449			SERIAL NO. 09/305,146		CASE NO. 10022/252	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			FILING DATE May 4, 1999		GROUP ART UNIT 2175	
(use several sheets if necessary)			APPLICANT(S): George V. Guyan et al.			

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	2003/0145124 A1	07/31/2003	Guyan et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	C2	EP 0 854 431 A2	07/22/1998	EPO		Yes

EXAMINER INITIAL		OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	C3	Cugola, G., et al., "Exploiting an event-based infrastructure to develop complex distributed systems," Software Engineering, 1988; Proceedings of the 1988 International Conference on Kyoto, Japan, 19-25 April 1998, *IEEE* pp 261-270.
	C4	Groiss, Herbert, et al., "Interoperability with World Wide Workflows," *1st World Conference on Integrated Design & Process Technology,* 1995, pp 1-7.
	C5	Extended European Search Report for European Patent Application No. 06005193.5, dated August 22, 2006.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.